



Docket No. 239804US2/PC

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Kazuhiro ANDO, et al.

SERIAL NO: 10/612,933

GAU: 2875

FILED: July 7, 2003

EXAMINER:

FOR: LIGHTING DEVICE AND IMAGE SENSOR USING THE SAME

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.

☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

☒ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.

☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

☐ Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

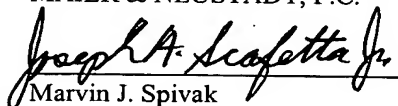
☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.


Marvin J. Spivak

Registration No. 24,913

Joseph A. Scafetta, Jr.
Registration No. 26,803

Customer Number

22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 05/03)

Form PTO 1449
(Modified)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

239804US2

SERIAL NO.

10/612,933

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Kazuhiro ANDO, et al.

FILING DATE

July 7, 2003

GROUP

2875

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5,659,838	08/19/1997	ANDO, et al.			
	AB	RE 36,963	11/21/2000	ANDO, et al.			
	AC	5,605,777	02/25/1997	ANDO, et al.			
	AD	5,344,134	09/06/1994	SAEKI, et al.			
	AE	5,347,372	09/13/1994	TAKAHASHI, et al.			
	AF	6,095,164	08/01/2000	SAITOH, et al.			
	AG	5,753,400	05/19/1998	KURAMOTO, et al.			
	AH	5,547,793	08/20/1996	KURAMOTO, et al.			
	AI	5,574,538	11/12/1996	TAKAHASHI, et al.			
	AJ	6,047,758	04/11/2000	KURAMOTO, et al.			
	AK	RE 37,645	04/09/2002	TAKAHASHI, et al.			
	AL	6,091,927	07/18/2000	HATTORI, et al.			
	AM	6,285,853	09/04/2001	SANO			
	AN						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AO	5-199366	08/06/1993	JAPAN (with English Abstract)		X
	AP	7-319082	12/08/1995	JAPAN (with English Abstract)		X
	AQ					
	AR					
	AS					
	AT					
	AU					
	AV					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	
	AX	
	AY	
	AZ	

☐ Additional References sheet(s) attached

Examiner

Date Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



LIST OF RELATED CASES

<u>Docket Number</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Inventor/ Applicant</u>
PER CLIENT	5,659,838	08/19/97	ANDO et al.
PER CLIENT	RE 36,963	11/21/00	ANDO et al.
PER CLIENT	5,605,777	02/25/97	ANDO et al.
0557-3114-2	5,344,134	09/06/94	SAEKI et al.
0557-3127-2	5,347,372	09/13/94	TAKAHASHI et al.
0557-3333-2	6,095,164	08/01/00	SAITOH et al.
0557-3334-3 FWC	5,753,400	05/19/98	KURAMOTO et al.
0557-3344-0	5,547,793	08/20/96	KURAMOTO et al.
0557-3576-2	5,574,538	11/12/96	TAKAHASHI et al.
0557-4239-3 CONT	6,047,758	04/11/00	KURAMOTO et al.
0557-4393-2 REISSUE	RE 37,645	04/09/02	TAKAHASHI et al.
0557-4523-2	6,091,927	07/18/00	HATTORI et al.
0557-4784-2	09/411,629	10/01/99	NARA
0557-4959-2	6,285,853	09/04/01	SANO
197193US2	09/662,173	09/14/00	NARA
208707US2	09/859,501	05/18/01	SOEDA et al.
213382US2	09/940,589	08/29/01	INOUE et al.
214247US2	09/961,256	09/25/01	NARA
215408US2	09/983,860	10/26/01	ANDO et al.
218942US2	10/097,595	03/15/02	NARA
239804US2*	10/612,933	07/07/03	ANDO et al.

*Present Application; listed for information

GJM/akh

I:\EM\REL\239s-240s\239804US LIST.DOC